



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q61459

Daikichi FUKUSHIMA, et al.

Appln. No.: 09/700,397

Group Art Unit: 1634

Confirmation No.: 3598

Examiner: A. Chakrabarti

Filed: November 14, 2000

For: NOVEL POLYPEPTIDES, cDNA ENCODING THE SAME, AND USE OF THEM

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents
Washington, D.C. 20231

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Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/700,397

Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said

Deposit Account. A duplicate copy of this paper is attached.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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WASHINGTON OFFICE



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PATENT TRADEMARK OFFICE

Date: February 7, 2003

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| <p>Substitute for Form 1449 A & B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p> <p>Sheet 1 of 1</p> | | <p><i>Complete if Known</i></p> <table border="1"> <tr> <td>Application Number</td> <td>09/700,397</td> </tr> <tr> <td>Confirmation Number</td> <td>3598</td> </tr> <tr> <td>Filing Date</td> <td>November 14, 2000</td> </tr> <tr> <td>First Named Inventor</td> <td>Daikichi FUKUSHIMA</td> </tr> <tr> <td>Art Unit</td> <td>1634</td> </tr> <tr> <td>Examiner Name</td> <td>A. Chakrabarti</td> </tr> <tr> <td>Attorney Docket Number</td> <td>Q61459</td> </tr> </table> | | Application Number | 09/700,397 | Confirmation Number | 3598 | Filing Date | November 14, 2000 | First Named Inventor | Daikichi FUKUSHIMA | Art Unit | 1634 | Examiner Name | A. Chakrabarti | Attorney Docket Number | Q61459 |
| Application Number | 09/700,397 | | | | | | | | | | | | | | | | |
| Confirmation Number | 3598 | | | | | | | | | | | | | | | | |
| Filing Date | November 14, 2000 | | | | | | | | | | | | | | | | |
| First Named Inventor | Daikichi FUKUSHIMA | | | | | | | | | | | | | | | | |
| Art Unit | 1634 | | | | | | | | | | | | | | | | |
| Examiner Name | A. Chakrabarti | | | | | | | | | | | | | | | | |
| Attorney Docket Number | Q61459 | | | | | | | | | | | | | | | | |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | |
|---|-----------------------|--|--------------------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
| | | H. Huang, et al. Carboxypeptidase A3 (CPA3): A novel gene highly induced by histone deacetylase inhibitors during differentiation of prostate epithelial cancel cells. <i>Cancer Res.</i> 59:2981-2988 (1999). | |
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Examiner Signature _____ **Date Considered** _____

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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